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20 November 2003

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Box Patent Application

Re: New U.S. Patent Application Based On Provisional Patent
Application Serial Nos. 60/428,036, filed 20 November 2002,
60/464,118, filed 21 April 2003

For: MULTILAYER POLYMER-QUANTUM DOT LIGHT
EMITTING DIODES AND METHODS OF MAKING
AND USING THEREOF

Inventors: Ozkan, et al.

Atty Docket No.: 034044.028

Dear Sir:

I. Enclosures:

We enclose the following papers for filing in the United States Patent and
Trademark Office in connection with the above-identified patent application:

1. U.S. Utility Patent Application entitled:

**MULTILAYER POLYMER-QUANTUM DOT LIGHT EMITTING
DIODES AND METHODS OF MAKING AND USING THEREOF**

and naming the following as inventor(s):

**Mihri Ozkan
Sumit Chaudhary**

a. A specification containing:

- (i) **15** pages of description;
- (ii) **3** pages of claims (**29** claims);
- (iii) **1** page of Abstract;
- (iv) **4** sheet of Drawings (Figs. 1-6B).

b. The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)
For	No. Filed	No. Extra
Basic Fee		
Total Claims	29-20 =	9
Indep. Claims	1-3 =	0
<input type="checkbox"/> Multiple Dependent Claim Presented		

*If the difference in Col. 1 is less than zero, enter "0" in Col. 2

SMALL ENTITY	
Rate	Fee
	\$385.00
x 9 =	\$ 81.00
x 42 =	
+ 145 =	
Total	\$466.00

or
or
or
or
or
or

LARGE ENTITY	
Rate	Fee
	\$770.00
x 18 =	
x 86 =	
+ 290 =	
Total	

II. Filing under 37 C.F.R. § 1.53(b)

- ☐ This application is being filed under the provisions of 37 C.F.R. § 1.53(b) without the filing fee.
- ☒ This application is being filed under the provisions of 37 C.F.R. § 1.53(b) without the Declaration/Oath by the inventors.

Applicants respectfully await notification from the Patent and Trademark Office of the time set for filing any missing parts.

Also enclosed herewith are the following:

1. Two (2) return postcards;

III. Correspondence Address

The correspondence address for this application is (37 C.F.R. §1.51(vii)):

Suzannah K. Sundby
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IV. Statement as to whether invention was made by an agency of the U.S. Government or under contract with an agency of the U.S. Government. (37 C.F.R. §1.51(viii)):

This invention ☐ was ☒ was not made by an agency of the United States Government, or under contract with an agency of the United States Government.

V. Small Entity Status Assertion under 35 U.S.C. 1.27(c)(1):

Applicant is a Small Entity.

VI. Claim for Priority:

- ☐ Foreign priority benefits under 35 U.S.C. 119(a)-(d) is hereby claimed of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Foreign Application: Country: Filing Date:

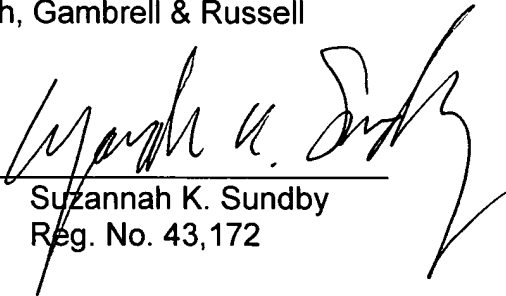
- ☒ The benefit of priority under 35 U.S.C. 119(e) is hereby claimed of the United States provisional patent application(s): 60/428,036, filed 20 November 2002 and 60/464,118, filed 21 April 2003.
- ☐ The benefit of priority under 35 U.S.C. 120 is hereby claimed of any United States non-provisional patent application(s) listed below:

VII. Method of fee payment

- ☒ Check in the amount of **\$466.00** (filing fee of 770.00) is enclosed.
- ☐ Charge Account No. **02-4300**, Reference No. _____ in the amount of \$____.
- ☒ The U.S. Patent and Trademark Office is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. **02-4300**, Reference No. **34044.028**. A duplicate copy of this sheet is attached.
- ☒ Any additional filing fees required under 37 C.F.R. §1.16.
- ☒ Any patent application processing fees under 37 C.F.R. §1.17.
- ☒ The U.S. Patent and Trademark Office is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Account No. **02-4300**, Reference No. **34044.028**. A duplicate copy of this sheet is enclosed.
- ☒ Any patent application processing fees under 37 C.F.R. §1.17
- ☒ Any filing fees under 37 C.F.R. §1.16 for presentation of extra claims.

It is respectfully requested that, of the two attached post cards, one be stamped with the filing date of these documents and returned to our courier, and the other prepaid postcard be stamped with the filing date and unofficial serial number and returned as soon as possible.

Respectfully submitted,
Smith, Gambrell & Russell

By: 
Suzannah K. Sundby
Reg. No. 43,172

Date: 20 November 2003
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